

## Buchberger's Algorithm — finite

We can compute a  $\sqrt{\text{Gröbner}}$  basis of

$I = (f_1, \dots, f_m)$  as follows:

Construct sets

$$F = G_0, G_1, G_2, \dots$$

of polynomials generating  $I$  such that

$$(\text{lm}(G_0)) \subsetneq (\text{lm}(G_1)) \subsetneq (\text{lm}(G_2)) \subsetneq \dots$$

If  $G_k$  fails Buchberger's criterion, there is a reduction  $r \neq 0$  of some  $S(g_1, g_2)$  with  $g_1, g_2 \in G_k$  (w.r.t.  $G_k$ ).

$\Rightarrow \text{lm}(r)$  is not divisible by any element of  $\text{lm}(G_k)$ .

$$\text{Take } G_{k+1} = G_k \cup \{r\}.$$

$$\Rightarrow (\text{lm}(G_{k+1})) \supsetneq (\text{lm}(G_k)).$$

By Hilbert's Basis Theorem, this process terminates after a finite number of steps.

Proof You can also after each step replace any element of  $G_k$  by its reduction w.r.t.  $G_k \setminus \{g\}$ , one polynomial  $g$  at a time.

Ex  $I = (XY^2, X^2Y+1)$ , lex. order

$$f_1 = XY^2$$

$$B_0 = \{f_1, f_2\}$$

$$f_2 = X^2Y+1$$

$$r = S(f_1, f_2) = X \cdot f_1 - Y \cdot f_2 = -Y$$

is reduced w.r.t.  $\{f_1, f_2\}$ .

$$B_1 = \{\cancel{f_1}, f_2, r\}$$

$$f_2 + X^2 \cdot r = 1$$

$$B_1' = \{1\}$$

is a Gröbner basis

Ex  $I = (X^3 - 2XY, X^2Y - 2Y^2 + X)$ , deg. lex. order

$$f_1 = X^3 - 2XY$$

$$f_2 = X^2Y - 2Y^2 + X$$

$$r = S(f_1, f_2) = Y \cdot f_1 - X \cdot f_2 = -2XY^2 + 2XY^2 - X^2$$

$$= -X^2$$

is reduced w.r.t.  $\{f_1, f_2\}$

$$B_1 = \{f_1, f_2, r\}$$

$$f_1' = f_1 + X \cdot r = -2XY$$

$$B_1' = \{f_1', f_2, r\}$$

$$f_2' = f_2 + Y \cdot r = -2Y^2 + X$$

$$B_1'' = \{f_1', f_2', r\}$$

$$S(f_1', f_2') = Y \cdot f_1' - X \cdot f_2' = -X^2$$

reduces to 0 w.r.t.  $\{f_1', f_2', r\}$

$$S(f_1', r) = X \cdot f_1' - 2Y \cdot r = 0$$

$$S(f_2', r) = X^2 \cdot f_2' - 2Y^2 \cdot r = X^3$$

reduces to 0 w.r.t.  $\{f_1', f_2', r\}$

$\Rightarrow \{f_1', f_2', r\}$  is a Gröbner basis.

$$= \{-2XY, -2Y^2 + X, -X^2\}$$

In particular,  $I \neq (1)$ , so  $V(I) \neq \emptyset$ .

Often, deg. rev. lex. order is faster than  
lex. order.

## 9. Rational functions

Let  $V \subseteq K^n$  be an irreducible alg. set.

Recall that  $\Gamma(V)$  is an integral domain.

Def The field of rational functions on  $V$  is the field of fractions  $K(V)$  of  $\Gamma(V)$ . Formally:

the set of equivalence classes of pairs  $(a, b)$

with  $a, b \in \Gamma(V)$ ,  $b \neq 0$ , where  $(a, b) \sim (a', b')$

if  $ab' = a'b$ . (A pair  $(a, b)$  corr. to the fraction  $\frac{a}{b}$ .)

$$K(V) = \left\{ \frac{a}{b} \mid a, b \in \Gamma(V), b \neq 0 \right\}$$

Ex  $V = K^n \rightarrow K(V) = K(x_1, \dots, x_n)$   
not everywhere on  $V$

Ex A  $V = \mathcal{V}(xy - z^2) \subset K^3$

~~$K(V) = K(x, y, z)$~~

Note that  $\frac{x}{z} = \frac{z}{y}$  in  $K(V)$  because  $xy = z^2$  in  $\Gamma(V)$ .

Def A rational function  $f \in K(V)$  is defined at  $P \in V$

if  $f = \frac{a}{b}$  for some  $a, b \in \Gamma(V)$  with  $b(P) \neq 0$ .

In this case, we write  $f(P) = \frac{a(P)}{b(P)} \in K$ .

(Note: The value  $f(P)$  doesn't depend on the choice of  $a, b$ .)

Ex A  $f = \frac{x}{z} = \frac{z}{y}$  is defined at all points  $(x, y, z) \in V$   
with  $z \neq 0$  or  $y \neq 0$ .

Prmk If  $f = \frac{a}{b}$  for some  $a, b \in \Gamma(V)$  with  $a(P) \neq 0$  and  $b(P) = 0$ , then  $f$  is not defined at  $P$ .

Pf Assume  $\frac{a}{b} = \frac{a'}{b'}$  with  $b'(P) \neq 0$ . Then,

$$\underbrace{a(P)}_{\neq 0} \underbrace{b'(P)}_{\neq 0} = a'(P) \underbrace{b(P)}_{=0}. \quad \square$$

Ex A  $f = \frac{x}{z} = \frac{z}{y}$  is not defined at  $(x, 0, 0) \in V$  for any  $x \neq 0$ .

Lemma 3.1 The set ~~of~~ of points  $P \in V$  at which  $f \in K_V$  is not defined is closed.

Equivalently, the set  $U_f \subseteq V$  of points ~~at~~ at which  $f$  is defined is open (w.r.t. the subspace top. on  $V$ ).

Also,  $U_f \neq \emptyset$ .

Pf Write  $f = \frac{a}{b}$ . Since  $b \neq 0$  as a fct. on  $V$ , it is not zero everywhere on  $V$ .  $\Rightarrow U_f \neq \emptyset$ .

$$\{P \in V \mid f \text{ not def. at } P\} = \bigcap_{\substack{a, b \in \Gamma(V): \\ f = \frac{a}{b}}} \underbrace{V_V(b)}_{\text{closed}} \text{ is closed.} \quad \square$$

Ex A  $f$  is not defined at  $(0, 0, 0)$ , which lies in the closure of  $\{(x, 0, 0) \mid x \neq 0\}$ .

For  $K = \mathbb{C}$ , for example; the limit ~~value~~ of  $f(P)$  as  $P \rightarrow (0,0,0)$  can depend on the path!

$$\begin{array}{ccc} P = (t, t, t) \in V & \rightsquigarrow & f(P) = \frac{t}{t} = 1 \\ \downarrow \quad t \rightarrow 0 & & \downarrow \\ (0,0,0) & & 1 \end{array}$$

$$\begin{array}{ccc} P = (t^{3/2}, t^{1/2}, t) \in V & \rightsquigarrow & f(P) = \frac{t^{3/2}}{t} = t^{1/2} \\ \downarrow \quad t \rightarrow 0 & & \downarrow \\ (0,0,0) & & 0 \end{array}$$

$\Rightarrow$  no cont. ext. to  $(0,0,0)$

Summary: Any  $f \in K(V)$  gives rise to a map  $f: U_f \rightarrow K$ .

Lemma 9.2 If  $f \in K(V)$  is zero on a nonempty open subset  $U \subseteq U_f$ , then  $f = 0$ .

Pf Write  $f = \frac{a}{b}$ . For any  $P \in U$ , we have  $b(P) = 0$  or  $a(P) = 0$ .

$$\Rightarrow V = \underbrace{(V \setminus U)}_{V^*} \cup \underbrace{V_V(b)}_{V^*} \cup \underbrace{V_V(a)}_{V^*}$$

↑            ↑            ↑  
closed

Since  $V$  is irreducible, this implies  $V_V(a) = V$ , so  $a = 0$ .  $\square$

Cor 9.3 If  $f, g \in K(V)$  agree on a nonempty open subset  $U \subseteq U_f \cap U_g$ , then  $f = g$ .

Principle This is similar to a fact from complex analysis: If two meromorphic functions ~~are~~ on a connected region  $U$  agree on a nonempty open subset  $U' \subseteq U$ , they agree on  $U$ .

Cor 9.4 The elements of  $K(V)$  correspond bijectively to equiv. classes of pairs  $(U, f)$  with  $\emptyset \neq U \subseteq V$  open and  $f: U \rightarrow K$  any map which is locally given by a quotient of regular functions

$$\left( \text{i.e.: } \forall P \in U \exists P \in U' \subseteq U \text{ open, } a, b \in \Gamma(U): \right. \\ \left. \forall Q \in U': b(Q) \neq 0, f(Q) = \frac{a(Q)}{b(Q)} \right)$$

where we identify  $(U, f), (U', f')$  if  $f|_{U \cap U'} = f'|_{U \cap U'}$ .  
(Recall that the intersection of two nonempty open subsets of an irred. set is nonempty!)