

~~$\alpha_{k+l}(x) = x^{q^{k+l}} = x^{q^k \cdot q^l} = (x^{q^k})^{q^l} = \alpha_l(\alpha_k(x))$~~  mod  $f$ .

Qf  ~~$\alpha_l(x) = x^{q^l} = f(x)g_l(x)$  for some pol.  $g_l(x)$~~

~~$\alpha_l(\alpha_k(x)) = \alpha_l(x^{q^k}) = (x^{q^k})^{q^l} + f(x^{q^k})g_l(x^{q^k}) \equiv x^{q^{k+l}} \pmod{f(x)}$~~

Modular composition problem

Given polynomials  $\alpha(x), \beta(x), f(x)$  of degree  $< n$ , compute  $\alpha(\beta(x)) \pmod{f(x)}$ .

(Note that it's in general not enough to know  $\alpha(x) \pmod{f(x)}$ !)

Prmk Evaluating  $\alpha$  at  $\beta(x)$  using "hor 5.3" takes time  $\tilde{O}(n^2)$ .

It can be done faster, but won't explain a better alg. for modular composition. Instead, we'll use a "cheat". Evaluating a pol. of degree  $n$  at  $n$  points is not much harder than evaluating it at a single point(!):

Lemma 10.1.3 Assume we can do arithmetic in  $R$  in  $\tilde{O}(1)$

let  $f \in R[x]$  be a pol. of degree  $\leq n$  and let  $c_1, \dots, c_n \in R$ .

We can compute  $f(c_1), \dots, f(c_n)$  in  $\tilde{O}(n)$ .

Qf  $f(c_i) = f(x) \pmod{x - c_i}$ .

Using the modulo tree ("Thm 5.5"), we can compute  $f \pmod{x - c_1}, \dots, f \pmod{x - c_n}$  in  $\tilde{O}(n)$ . □

Cor 10.1.4 Let  $f \in \mathbb{F}_q[X]$  be a pol. of degree  $n$ . We can compute  $\alpha_k(X) = X^{q^k} \bmod f$  for  $k=1, \dots, n$  in  $\tilde{O}(n^2 + n \log q)$ .

Pf First, compute  $\alpha_1(X) = X^q$  in  $\tilde{O}(n \log q)$  using fast exponentiation. ~~then~~ afterwards:

~~then~~

Claim: We can compute  $\alpha_1, \dots, \alpha_{2^r}$  in  $\tilde{O}(n^2 + n \log q)$  for  $r \leq \lceil \log_2 n \rceil$ .

Pf Assume we've computed  $\alpha_1, \dots, \alpha_{2^{r-1}}$ .

Then,  $\alpha_{2^{r-1}+i}(X) = \alpha_{2^r}(\alpha_i(X)) \bmod f$  for  $i=1, \dots, 2^{r-1}$ .

value of the pol.  $\alpha_{2^r}(X)$

at  $\alpha_i(X)$  in the ring

$\mathbb{F}_q[X]/(f)$ .

Arithmetic in  $\mathbb{F}_q[X]/(f)$  takes time  $\tilde{O}(n)$ .

$\Rightarrow$  since  $2^{r-1} \leq n$ , by Lemma 10.1.3, we can

compute  $\alpha_{2^{r-1}+i}$  for  $i=1, \dots, 2^{r-1}$  in  $\tilde{O}(n^2)$  after

computing  $\alpha_j$  for  $j=1, \dots, 2^{r-1}$  in  $\tilde{O}(n^2(r-1))$ .  $\square$

Cor 10.1.5 Let  $f \in \mathbb{F}_q[X]$  of degree  $n$  and  $g \in \mathbb{F}_q[X]$  of deg.  $< n$ . We can compute

$g(X)^{q^k} \bmod f(X)$  for  $k=1, \dots, n$  in  $\tilde{O}(n^2 + n \log q)$ .  $\square$  (Cor)

Pf  $g(X)^{q^k} \equiv g(X^{q^k}) \equiv g(\alpha_k) \bmod f$ .

$\Rightarrow$  It suffices to evaluate  $g$  at  $\alpha_1, \dots, \alpha_n \in \mathbb{F}_q[X]/(f)$ .  $\square$

Summary We can compute the degree  $k$  parts of  $f$  for  $k=1, \dots, n$

in  $\tilde{O}(n^2 + n \log q)$ .

Bonus This can actually be done in  $\tilde{O}(n^{\frac{3}{2} + \epsilon(\log q)^{1+\epsilon}} + n^{\frac{10\epsilon}{3}} (\log q)^{2\epsilon})$  bit operations (not the more expensive operations in  $\mathbb{F}_q$ )

(see Kedlaya, Umans: Fast pol. factorization and modular composition)

see also a paper by...

## 10.2. Equal-degree factorization

Lemma 10.2.1 Let  $f \in \mathbb{F}_q[X]$  be a ~~polynomial of degree  $n$  that is~~

~~the~~ the product of  $m$  irred. pol. of degree  $d$  (so

$$m = \deg(f) = km, \quad f \mid \frac{x^{q^d} - x}{\prod_{e \neq d} (x^{q^e} - x)}$$

Assume we are given the pol.  $\alpha_i = (x^{q^i} \bmod f)$  for  $i = 0, \dots, d-1$ . Then, we can find a random splitting  $f = gh$  into pol.  $g, h \in \mathbb{F}_q[X]$  in time  $\tilde{O}(n^2)$  where ~~the prob~~  $n = \deg(f)$ .

$$P(\deg(g) = kd) = \binom{m}{k} p^k (1-p)^{m-k} \text{ for } k=0, \dots, m,$$

where  $p = \frac{|\mathbb{F}_q^d|}{q}$ .

Pf ~~Let~~ Let  $f = f_1 \cdots f_m$  be the factorisation of  $f$ .

$$\stackrel{\text{CRT}}{\Rightarrow} \mathbb{F}_q[X]/(f) \cong \prod_{i=1}^m \mathbb{F}_q[X]/(f_i) \cong \prod_{i=1}^m \mathbb{F}_{q^d}.$$

Pick  $a_0, \dots, a_{d-1} \in \mathbb{F}_q$  uniformly at random.

$\Rightarrow \varphi_a := a_0 + \dots + a_{d-1} X^{d-1} \bmod f$  is a uniformly random element of  $\mathbb{F}_q[X]/(f) \cong \prod_{i=1}^m \mathbb{F}_{q^d}$ .

Consider the trace map  $\text{Tr}$  sending  $X$  to  $X + X^q + X^{q^2} + \dots + X^{q^{d-1}} = \alpha_0 + \alpha_1 + \dots + \alpha_{d-1}$ .

On  $\mathbb{F}_{q^d}$ , it's the (field) trace map  $\text{Tr}_{\mathbb{F}_{q^d}/\mathbb{F}_q} : \mathbb{F}_{q^d} \rightarrow \mathbb{F}_q$ .

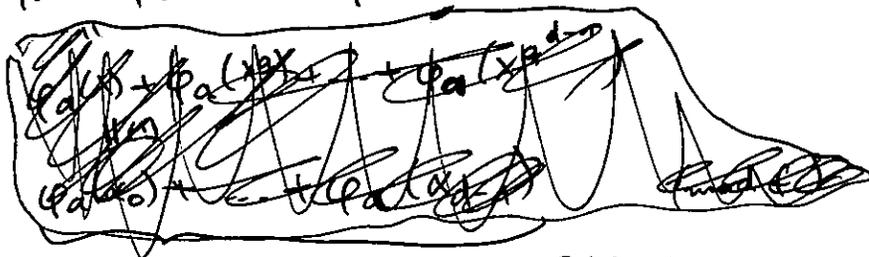
$\leadsto$  We get a map  $\Pi \mathbb{F}_q^d \rightarrow \Pi \mathbb{F}_q$ .

linear surjective

Each element of  $\Pi \mathbb{F}_q$  has the same number of preimages.

$\Rightarrow \text{Tr}(\varphi_a)$  is a uniformly random element of  $\Pi \mathbb{F}_q$ .

$\parallel$   
 $\varphi_a(x) + \varphi_a(x)^q + \dots + \varphi_a(x)^{q^{d-1}}$



can be computed in  $\tilde{O}(n^2)$ .

Let  $v_q(x) = \sum_{i=0}^{d-1} x^{q^i}$  as in lemma 8.3.

Now,  $\gcd(f, v_q(\text{Tr}(\varphi_a)))$  is divisible by  $f_i$  if and only if the image of  $v_q(\text{Tr}(\varphi_a))$  in the  $i$ -th factor  $\mathbb{F}_q$  is 0.

Since  $v_q(x)$  has  $\lfloor \frac{q-1}{d} \rfloor$  roots in  $\mathbb{F}_q$ , this happens with prob.  $P$ .

The events for different  $i$  are all independent.  $\square$

Cor 10.2.2 We can factor any  $f$  as in lemma 10.2.1 in expected time  $\tilde{O}(n^2 + n \log q)$ .

Q.E.D. like Thm 8.4.  $\square$

~~Q.E.D.~~

Combining all factorization steps (squarefree, distinct-degree, equal-degree)  
Thm 10.2.3 (von zur Gathen & Shoup: computing Frobenius maps and factoring polynomials)

We can factor a pol.  $f \in \mathbb{F}_q[x]$  of degree  $n$  in time  $\tilde{O}(n^2 + n \log q)$ .

Brink This is a factor of  $(n + \log q)$  worse than the triv. lower bound  $\Theta(n)$ .

~~There~~ There are faster algorithms (improving  $n$ , but not  $\log q$ )

Kaltofen-Shoup: subquadratic-time factoring of polynomials over finite fields (baby step/giant step alg.)

Kedlaya-Umans: Fast polynomial factorization and modular composition

(better modular comp. + baby step/giant step)

essentially:  ~~$n + \log q$~~   
 $n + \log q \rightarrow n^{1/2} + \log q$

[Don't know how to improve the  $\log q$  factor even when just counting linear factors!]

# 11. Factoring over nonarchimedean local fields

Let  $K$  be a nonarch. local field with

~~normalized valuation  $v$  : map  $v: K \rightarrow \mathbb{Z} \cup \{\infty\}$  s.t.~~

normalised valuation  $v$  : map  $v: K \rightarrow \mathbb{Z} \cup \{\infty\}$  s.t.

$$\begin{aligned} v(x) = \infty &\Leftrightarrow x = 0 \\ v(xy) &= v(x) + v(y) \\ v(x+y) &\geq \min(v(x), v(y)) \end{aligned}$$

uniformiser  $\pi$  : el.  $\pi \in K$  s.t.  $v(\pi) = 1$

ring of integers  $\mathcal{O} = \{x \in K : v(x) \geq 0\}$

prime ideal  $\mathfrak{p} = \{x \in K : v(x) \geq 1\} = (\pi)$

(finite) residue field  $k = \mathcal{O}/\mathfrak{p} = \mathbb{F}_q$

~~Let  $a_1, \dots, a_q \in K$  be representatives of  $\mathcal{O}/\mathfrak{p}$~~

Ex  $K = \mathbb{Q}_p = \left\{ \frac{x}{y} : x, y \in \mathbb{Z}_p, y \neq 0 \right\}$

$v(x) =$  nr. of times  $x$  is divisible by  $p$ ,

$\pi = p$ ,  $\mathcal{O} = \mathbb{Z}_p$ ,  $\mathfrak{p} = (p)$ ,  $k = \mathbb{F}_p$ ,  $q = p$ .

~~Assume we can do arithmetic in  $k = \mathbb{F}_q$  in  $\mathcal{O}/\mathfrak{p}$ .~~

~~Let  $a_1, \dots, a_q \in K$  be representatives~~

~~Let  $\mathcal{O}/\mathfrak{p}^k$~~

~~Let  $a_1, \dots, a_q \in K$  be representatives~~  
 In ~~the~~ computations, we won't work with elements of  $\mathcal{O}$  (or  $K$ ), but with mod  $\mathfrak{p}^k$ -approximations in  $\mathcal{O}/\mathfrak{p}^k$ .

Assume we can do arithmetic in  $\mathcal{O}/\mathfrak{p}^k$  in  $\mathcal{O}(k)$ .

[In part, we can do arithmetic in  $k = \mathcal{O}/\mathfrak{p}$  in  $\mathcal{O}(1)$ ]

Ex For  $K = \mathbb{Q}_p$ , this involves arithmetic on ~~base  $p$~~  base  $p$  integers with  $\mathcal{O}(k)$  digits.