

Our favorite computational model:

b-bit random access machine (RAM)

$2^b$  working registers  $r_0, \dots, r_{2^b-1}$  with values in  $\{0, \dots, 2^b-1\}$

$2^b$  input  $in_0, \dots, in_{2^b-1}$

$2^b$  output  $out_0, \dots, out_{2^b-1}$

A program consists of  $s$  steps of the following form:

• " $r_i := x$ "  $(0 \leq i < 2^b)$  assign register  $i$  the value  $x$   $(0 \leq x < 2^b)$  then go to the next step.

~~• " $r_i := r_j$ "~~

• " $r_i := r_j \pm r_k$ "  $(0 \leq i, j, k < 2^b)$  undef. if result  $\notin \{0, \dots, 2^b-1\}$

• " $r_i := \lfloor \frac{r_j}{r_k} \rfloor$ "  $\dots$  or  $r_k = 0$

• " $r_i := r_j \bmod r_k$ "  $\dots$

• "go to step  $t$ "  $(1 \leq t \leq s)$

• "if  $r_i = r_j$ , go to step  $t$ , otherwise to step  $u$ "

"if  $r_i < r_j$ "  $\dots$

• " $r_i := r_j$ "

• " $r_{r_i} := r_j$ "

• " $r_i := in_{r_j}$ "

• " $out_{r_i} := r_j$ "

• "halt"

Initially, ~~all~~ <sup>all</sup> registers are ~~undefined~~ <sup>undefined</sup> except the appropriate input registers.

After the program halts, the output should be in the output registers.

~~Running time~~

Running time = total number of steps taken

Memory usage = ~~target~~

smallest  $m \geq 0$  s.t. only

registers ~~are~~  $r_i, in_i, out_i$

with  $0 \leq i < m$  were used (read or written to).

Upshot: "It's the intuitive running time." ("On current computers, after  $n=64$ .")

We'll write pseudocode...

Ex There is a program which adds two binary integers with  $\leq n$  digits ~~in~~ in time  $\mathcal{O}(n)$ , memory  $\mathcal{O}(n)$  on an  $\mathcal{O}(\log n)$ -bit RAM.

Input: Encode  $\sum_{i=0}^{n-1} a_i 2^i$ ,  $\sum_{i=0}^{n-1} b_i 2^i$  as  $n, a_0, \dots, a_{n-1}, b_0, \dots, b_{n-1}$

Output: Encode  $\sum_{i=0}^{n-1} c_i 2^i$  as  $n, c_0, \dots, c_{n-1}$ .

Ex ~~Instead of binary encoding,~~ Instead of binary encoding, use base  $t$ .  $\leadsto$  can add two integers with  $\leq n$  base  $t$  digits in time  $\mathcal{O}(n)$ , memory  $\mathcal{O}(n)$  on an  $\mathcal{O}(\max(\log n, \log t))$ -RAM

Some other interesting models:

- Turing machines
- Multitape Turing machines
- ~~Multiple~~ Multiple <sup>(k)</sup> RAM working in parallel communicating

~~Running time~~ (Running time = ~~max~~ max. number of steps taken by any of the RAM)

~~Of course~~ (Of course, if we can do sth. in time  $O(T)$  on  $k$  <sup>parallel</sup> RAM, we can do it in time  $O(kT)$  on one RAM. But the converse doesn't always hold!)

• Quantum RAM

!

# 1. Fast multiplication

Let  $R$  be a ring with unit (not necessarily commutative).

We'll assume the ring op. in  $R$  can be done in  $\mathcal{O}(1)$  by augmenting the  $b$ -bit RAM:

Registers can take values in  $\{0, \dots, 2^b - 1\} \cup R$ .

The ops " $r_i := r_j \pm r_k$ " apply if both  $r_j, r_k \in R$ .

There's an op. " $r_i := \text{image of } r_j \text{ under the hom. } \mathbb{Z} \rightarrow R$ ".

Question <sup>30% for  $n$</sup>  How quickly can we multiply two pol.  $f, g \in R[x]$

~~$f(x) = \sum_{i=0}^{n-1} a_i x^i$ ,  $g(x) = \sum_{i=0}^{n-1} b_i x^i$~~   
of degree  $\leq n$   
on an  $\mathcal{O}(\log n)$ -bit RAM?

(given coeff. of  $f, g$ , find coeff. of  $f \cdot g$ .)

Idea <sup>(Som-look?)</sup>  
 $\deg(fg) \leq 2n$ .

$\Rightarrow$  ~~over a field~~ <sup>If  $R$  is a field</sup> one can reconstruct  $fg$  from its value  $(fg)(p_i) = f(p_i)g(p_i)$  at  $2n+1$  <sup>(distinct)</sup> points  $p_0, \dots, p_{2n} \in R$ .

But how to compute  $f(p_i), g(p_i)$  for  $i = 0, \dots, 2n$ ? (evaluation)  
And how to ~~compute~~ compute  $fg$  from these  $2n+1$  values? (interpolation)

Idea 2 This is easier ~~for~~ for powers  $p_i = \zeta_k^i$  of a root of unity  $\zeta_k$  (with  $k \geq 2n$ ).

## 1.1. Fourier transform

Let  $n \geq 1$  and ~~let~~ assume that  $\zeta_n \in \mathbb{R}$  is ~~a primitive~~  
~~n-th root of unity~~

a root of the  $n$ -th cyclotomic polynomial

$$\Phi_n \in \mathbb{Z}[X] \quad (\text{def. recursively by } X^n - 1 = \prod_{d|n} \Phi_d(X)).$$

the monic pol.

("a prim.  $n$ -th root of unity")

Lemma 1.1.1 a)  $\zeta_n^n = 1$

b) For any  $d|n$ , ~~the~~  $\zeta_n^d$  is a root of  $\Phi_{n/d}$ .

c) ~~For any~~

For any  $a \in \mathbb{Z}$ ,

$$\sum_{i \in \mathbb{Z}/n\mathbb{Z}} \zeta_n^{ai} = \begin{cases} 0, & a \not\equiv 0 \pmod{n} \\ n, & a \equiv 0 \pmod{n}. \end{cases}$$

$$a \not\equiv 0 \pmod{n}$$

$$a \equiv 0 \pmod{n}.$$

Def The Fourier transform of  $a = (a_i)_{i \in \mathbb{Z}/n\mathbb{Z}} \in \mathbb{C}^{\mathbb{Z}/n\mathbb{Z}}$  (w.r.t.  $\mathcal{F}_n$ )

is  $\mathcal{F}_n(a) = (b_j)_{j \in \mathbb{Z}/n\mathbb{Z}} \in \mathbb{C}^{\mathbb{Z}/n\mathbb{Z}}$ , where

$$b_j = \sum_{i \in \mathbb{Z}/n\mathbb{Z}} a_i \zeta_n^{ij}.$$

Lemma 1.1.2

$$\mathcal{F}_n(\mathcal{F}_n(a)) = (n \cdot a_{-i})_{i \in \mathbb{Z}/n\mathbb{Z}}.$$

Pf  $\mathcal{F}_n(a) = b$  with  $b_j = \sum_i a_i \zeta_n^{ij}$

$$\mathcal{F}_n(b) = c \text{ with } c_u = \sum_j b_j \zeta_n^{jk} = \sum_{i,j} a_i \zeta_n^{(i+k)j} = n a_{-i}. \quad \square$$

$\zeta_n^{(i+k)j} = \begin{cases} 1 & \text{if } i+k=0 \\ 0 & \text{otherwise} \end{cases}$

Cor 1.1.3 If  $n$  is invertible in  $R$ ,

the problem of evaluating at roots of unity is equiv. to the problem of interpolating from roots of unity.

~~Simple the naive alg to compute  $\mathcal{F}_n(a)$  (given  $a, \mathcal{F}_n$ ) needs time~~


Question Given  $a = (a_i)_i$ , and  $\mathcal{F}_n$ , how quickly can we compute  $\mathcal{F}_n(a)$ ?

$\Rightarrow d_k = n \cdot a_{-k \pmod n}$  (where  $i \equiv -k \pmod n$ )  
 (Cooley-Tukey '1965, Gauss)

Let  $n = pq$  for integers  $p, q \geq 1$ . Let  $S_p = S_n^q, S_q = S_n^p$ .

~~If  $\omega_n$  is a root of  $\Phi_n$ , then  $\omega_p := \omega_n^q$  is a root of  $\Phi_p$  and  $\omega_q := \omega_n^p$  is a root of  $\Phi_q$ .~~

We can reduce the problem of computing a length  $n$  FT to computing  $p$  length  $q$  FT:  
 Let  $a \in \mathbb{R}^n$ .

For  $l = 0, \dots, p-1$ , let  $b^{(l)} := \mathcal{F}_{S_q}(a_{ql}, a_{q(l+1)}, \dots, a_{q(l+p-1)})$   
  
 $\mathcal{F}_{S_q}(a_{ql}, a_{q(l+1)}, \dots, a_{q(l+p-1)})$

Then,  $\mathcal{F}_{S_n}(a) = (c_j)$  where  $c_j = \sum_{l=0}^{p-1} b_j^{(l)} \omega_n^{lj}$  for  $j \in \mathbb{Z}/n\mathbb{Z}$ .

pf

$$b_j^{(l)} = \sum_{i=0}^{q-1} a_{ip+l} \omega_q^{ij}$$
~~$$= \sum_{i=0}^{q-1} a_{ip+l} \omega_n^{p(ij)} = \sum_{i=0}^{q-1} a_{ip+l} \omega_n^{(ip+l)j}$$~~

$$= \sum_{i=0}^{q-1} a_{ip+l} \omega_n^{ipj}$$

$$\sum_c b_j^{(l)} = \sum_{i=0}^{n-1} a_i \omega_n^{ij}$$

$$\Rightarrow \sum_{l=0}^{p-1} b_j^{(l)} \omega_n^{lj} = \sum_{l=0}^{p-1} \sum_{i=0}^{q-1} a_{ip+l} \omega_n^{(ip+l)j}$$

$$= \sum_{k \in \mathbb{Z}/n\mathbb{Z}} a_k \omega_n^{kj}$$

any  $k \in \mathbb{Z}/n\mathbb{Z}$  can be written uniquely as  $k = ip + l$

~~Cor 1.1.4~~

Cor 1.1.4 (Radix  $r$  Cooley-Tukey <sup>FFT</sup> alg.)

Let  $r \geq 2, e \geq 0, n = r^e$ .  ~~$\forall i \in \{0, \dots, n-1\}$~~

Then, you can compute  $\mathcal{F}_{S_n}(a)$  with  $\mathcal{O}(r^{e+1}(e+1))$   
 $= \mathcal{O}(n \cdot r \cdot (\log_r n + 1))$

add./mult. op. in  $R$ .

(Think of  $r$  as field, usually  $r=2$ .  ~~$\rightarrow$~~   $\rightarrow$  time  $\mathcal{O}(n \log n)$  for large  $n$ )

~~Alg Apply the FFT ~~thm~~ recursively with  $p=r, q=r^{e-1}$~~

~~Idea:~~ ~~Apply the C-T thm.~~ <sup>(recursively)</sup> with  $p=r, q=r^{e-1}$ .  
So compute  $\mathcal{F}_{S_{r^e}}(a)$ :

1) For  $l=0, \dots, r-1$ :

Recursively compute  $b^{(l)} = \mathcal{F}_{S_{r^{e-1}}}(a_{l}, a_{r+l}, \dots, a_{(r-1)r+l})$ .

(time  $\leq C \cdot r^e \cdot e$  by induction)

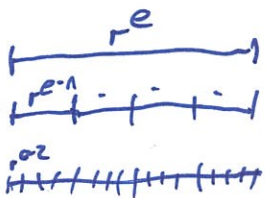
2) compute  $1, \zeta_n, \dots, \zeta_n^{n-1}$ .

(time  $\mathcal{O}(n) = \mathcal{O}(r^e)$ )

3) For  $j=0, \dots, r^e-1$ :  
compute  $c_j = \sum_{l=0}^{r-1} b_j^{(l)} \zeta_n^{lj}$ .

(time  $\mathcal{O}(r)$ ) } (time  $\mathcal{O}(r^{e+1})$ )

4) Return  ~~$\mathcal{F}_{S_{r^e}}(a)$~~   $\mathcal{F}_{S_{r^e}}(a) = (c_j)_j$ .



total time  
 $C r^{e+1} \cdot e + \mathcal{O}(r^{e+1})$   
 $\leq C r^{e+1}(e+1)$   
if  $C \geq$  the constant in  $\mathcal{O}(\dots)$ .





Banks shows

The algorithm only multiplies

All multiplications in  $R$  performed in the alg. are  
mult. by powers of  $S_n$ .